

**United  
Technologies**

Climate | Controls | Security

Control Design and Verification with  
Physics Based Models for HVAC/R  
Applications

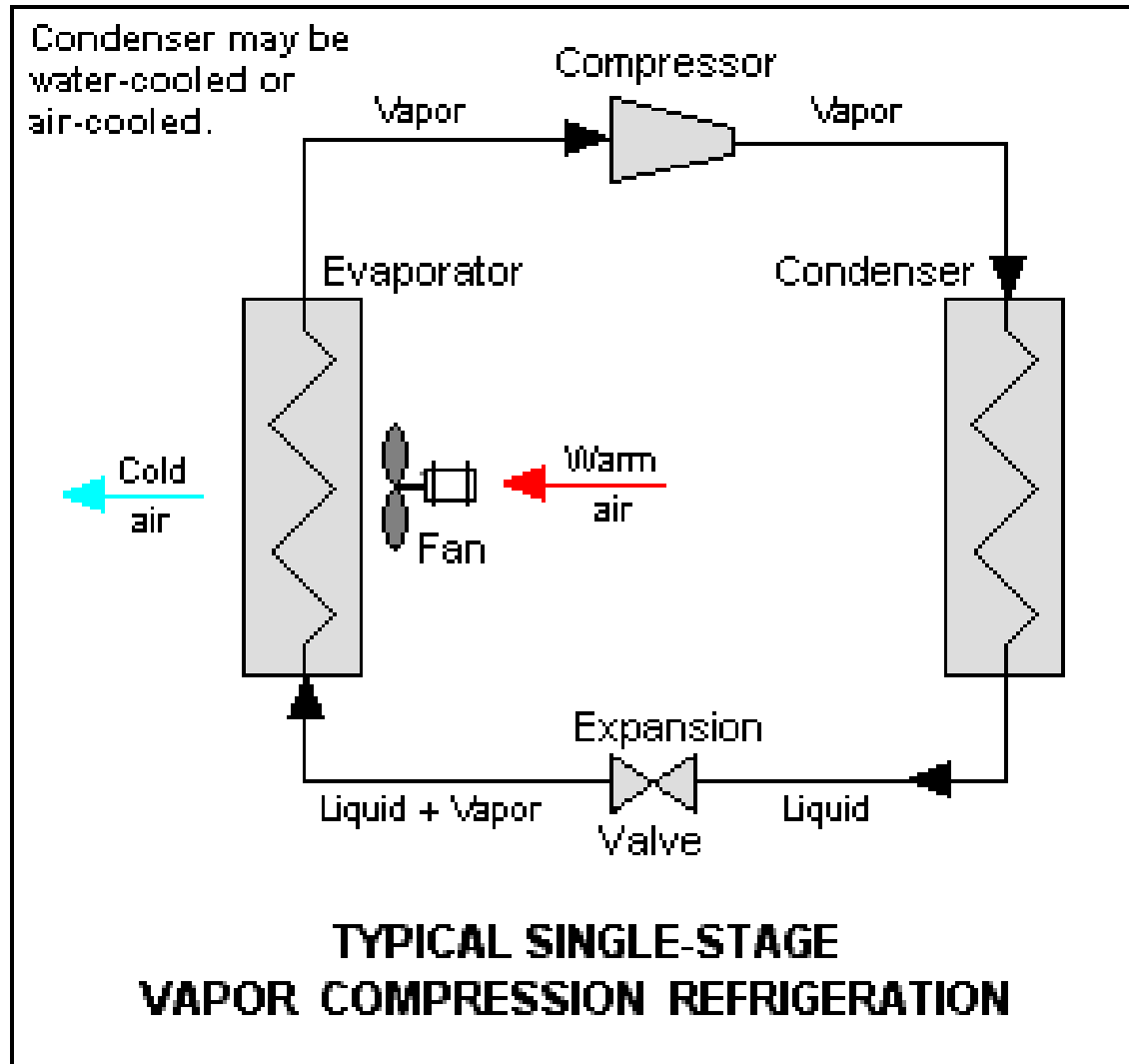
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Fellow, Systems and Controls Engineering  
Sept 28, 2016

# OUTLINE

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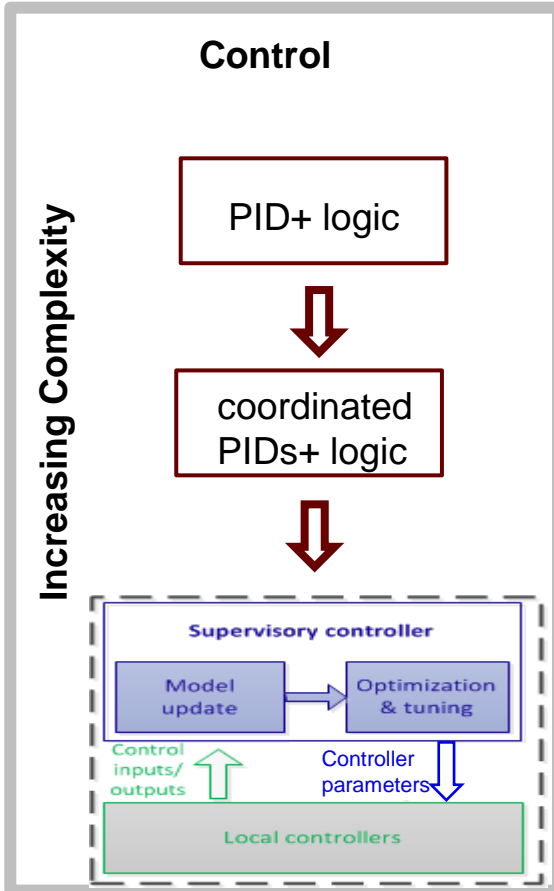
- ❑ Vapor compression refrigeration cycle
- ❑ Model Based Control Development Process
- ❑ Application Examples
  - Transportation Refrigeration
  - Commercial Refrigeration
  - Residential HVAC
  - Commercial Building HVAC
- ❑ Conclusions

# VAPOR COMPRESSION REFRIGERATION CYCLE



# WHAT IS CONTROL OF HVAC/R?

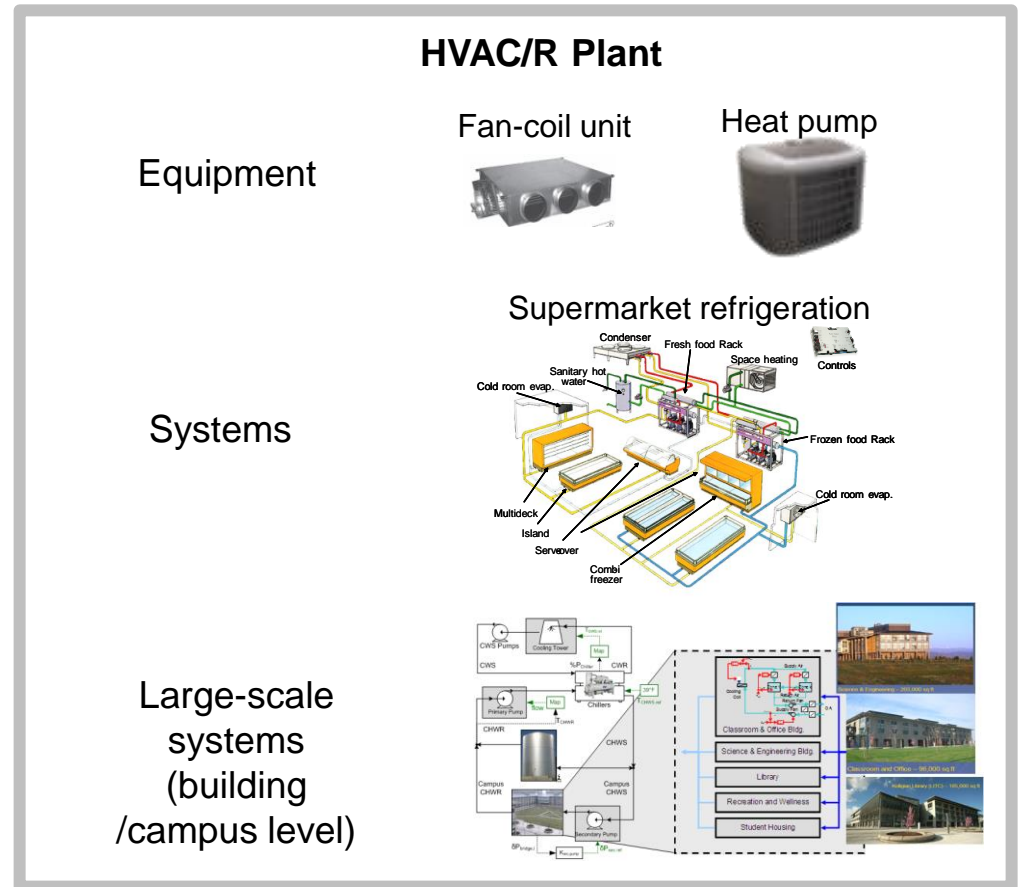
Reliably operating HVAC/R systems to be *functional* and *energy efficient*



Measurements



Actuations



## What's important?

- Control architecture & algorithm design
- Implementation and test/verification
- Tuning and commissioning
- Operation & upgrading

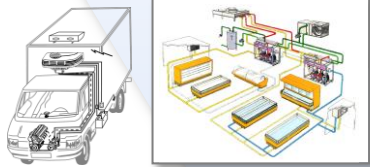
## What's important?

- Know the physics, systems objectives and limitations
- Model the physics, component to system
- System complexity

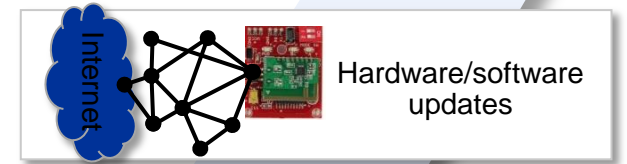
# MODEL BASED CONTROL DEVELOPMENT PROCESS

*From requirements definition to field support*

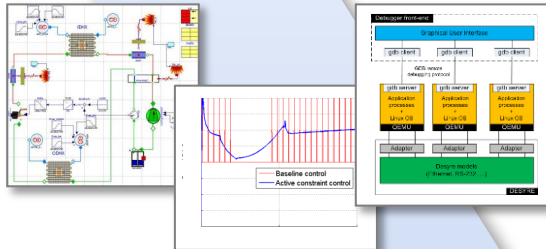
## Requirements



## Field upgrades and configuration

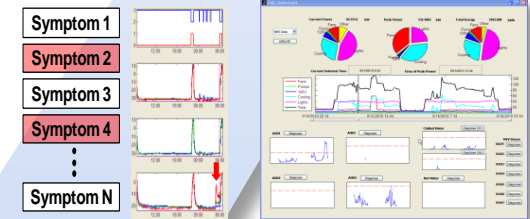


## Modeling and simulation

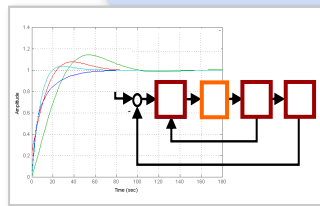


## Operation

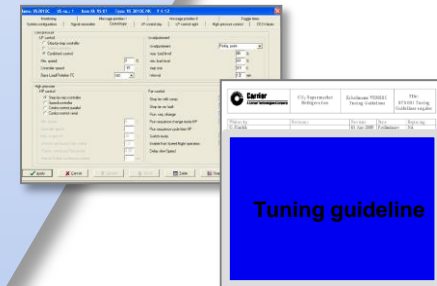
Diagnostics and fault detection



## Control design

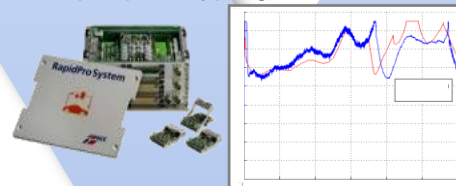


## Commissioning



## Verification and validation

Software-in-the-loop  
Rapid prototyping, Hardware-in-the-loop

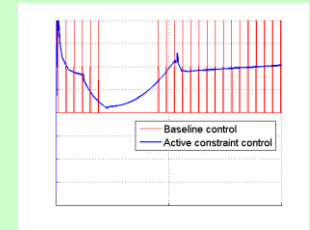


# APPLICATION EXAMPLES

## Equipment

### Pulsor™: Truck Refrigeration Equipment

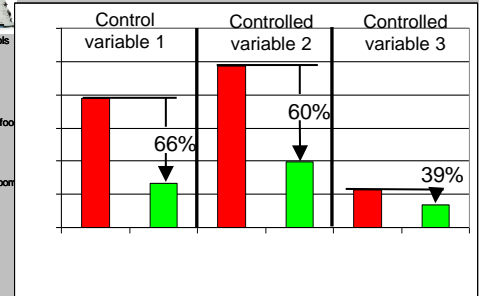
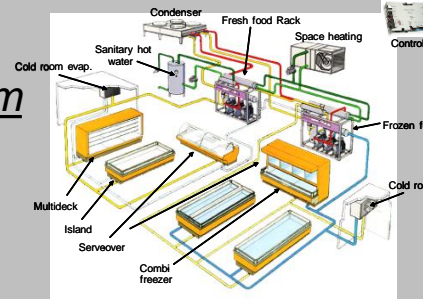
Developed control architecture and algorithm for robust system performance and optimal efficiency



## Systems

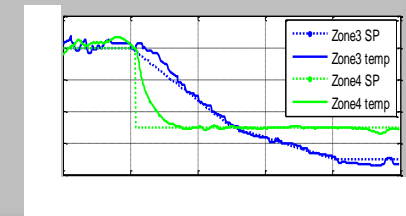
### CO2OLtec™: Supermarket Refrigeration System

Developed control commissioning guidelines in use by Carrier installers



### Infinity NG™: Residential HVAC System

Demonstrated HW-independent, model based developed control algorithm on scalable SW platform

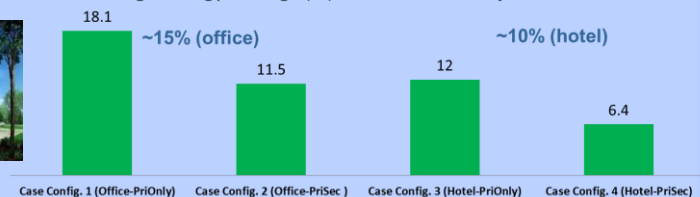


## Large Systems/Buildings

Supervisory control algorithm : 10% to 15% energy consumption reduction.



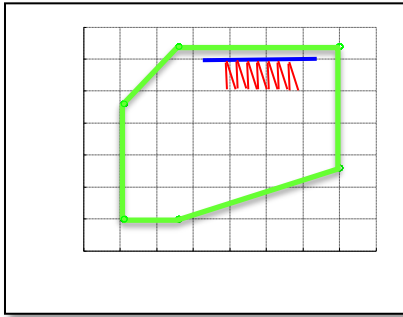
Average Energy Savings (%) from Low-Cost Optimal Control



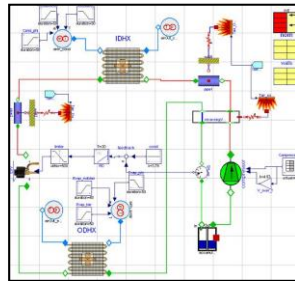
# PULSOR™ ... TRUCK REFRIGERATION

## Architecture and algorithm design

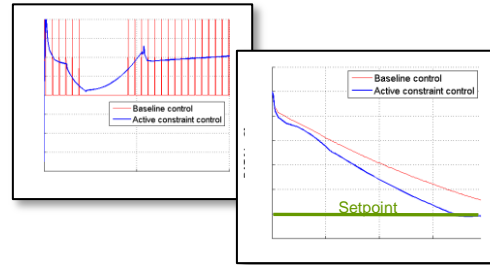
### Requirements



### Modeling and Simulation



### Algorithm design



### Active constraint control algorithm

- Eliminated cycling
- Better performance

### Verification and Validation

### Rapid prototyping



No control algorithm changes during field trials

### Product



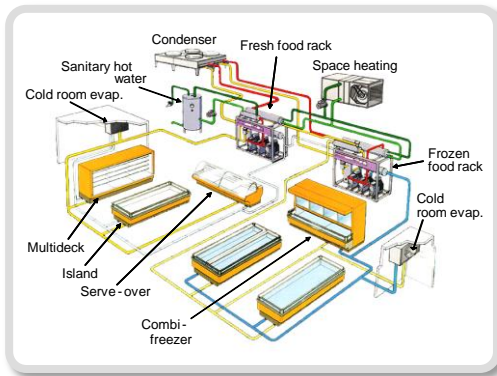
### Operating constraints

- Small (~kW) capacity
- Air-cooled, standard vapor compression system
- Single-input-multiple-output control (Hybrid control solution)

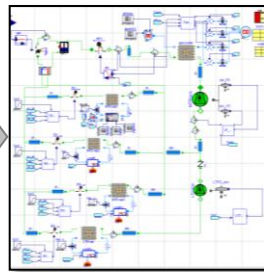
# CO<sub>2</sub>OLTEC™ ... SUPERMARKET REFRIGERATION

**Faster and accurate system commissioning**

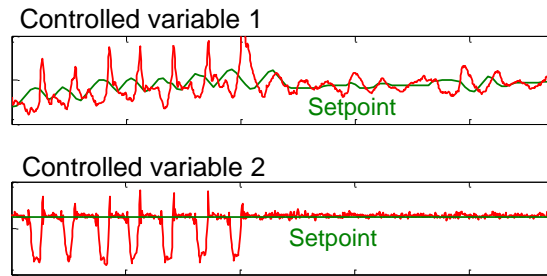
## Requirements



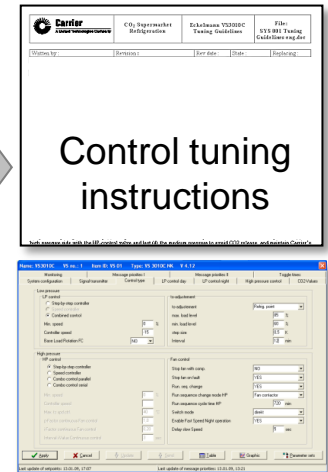
## Modeling and Simulation



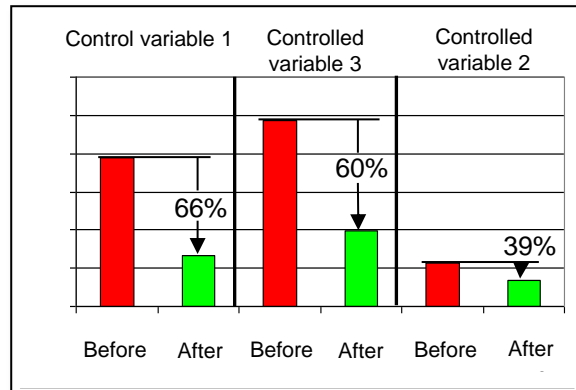
## Control analysis and design



## Commissioning guidelines



## Product



- Large (~100kW) capacity
- CO<sub>2</sub>-based refrigeration system
- Multiple-input-multiple-output control (100's control loops)
- Site-specific configuration



COOLtec

2010

CCS using transitioned SW



# CO<sub>2</sub>OLtec™: Gas Cooler Modeling

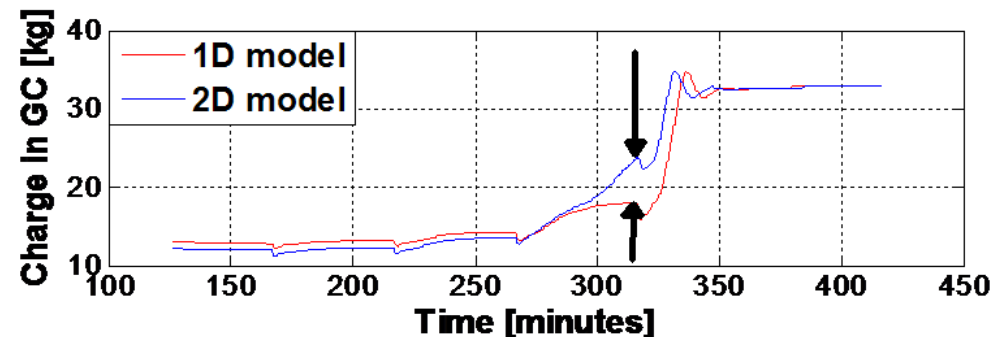
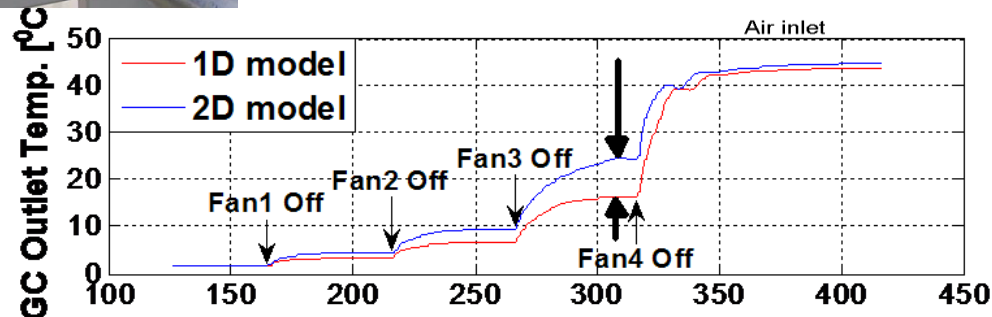
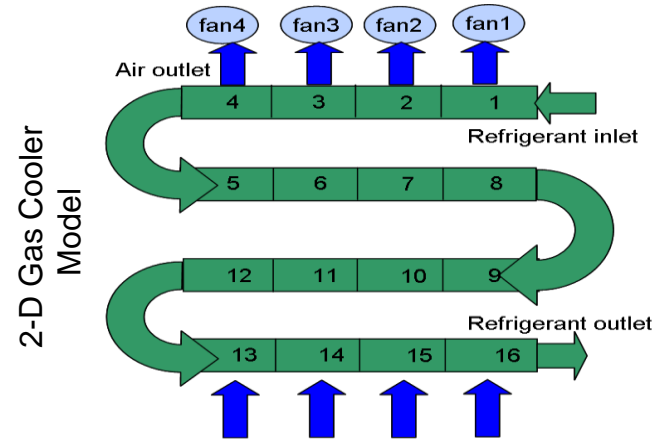
More physics captured by 2-D cross-flow HX model versus 1-D counter flow HX model at reasonable cost of simulation speed



Front view



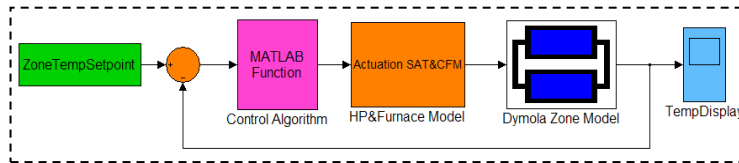
Side view



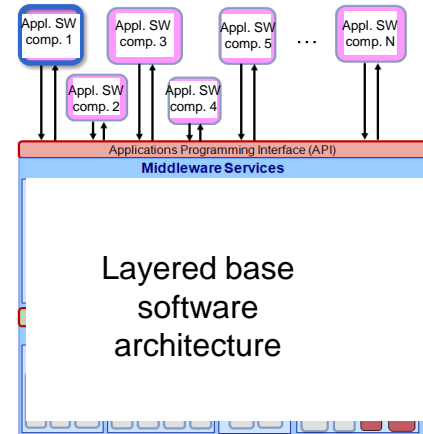
# INFINITY NG... RESIDENTIAL HVAC

## Software architecture and system control design

### Model-based control algorithm development



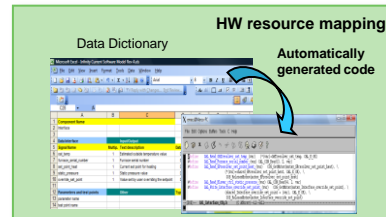
### System control algorithm



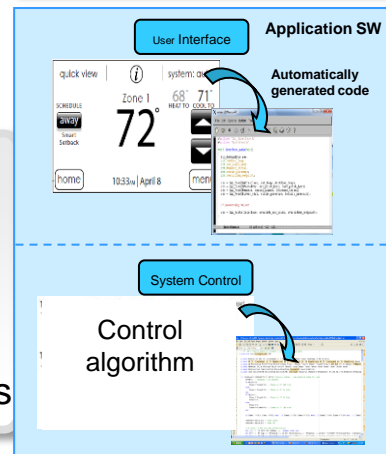
### Requirements



### New programming model



Final Code

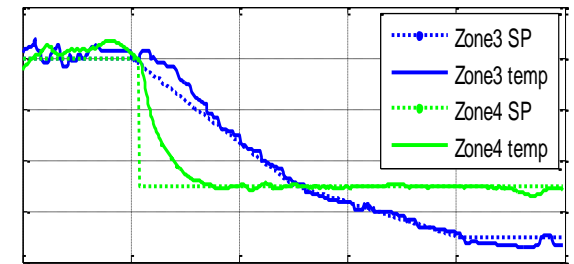


Hardware/software separation



Product ...

### Field trial results



No control algorithm changes during field trials

- North American residential application
- Multiple-input-multiple-output control
- Large variety of configurations

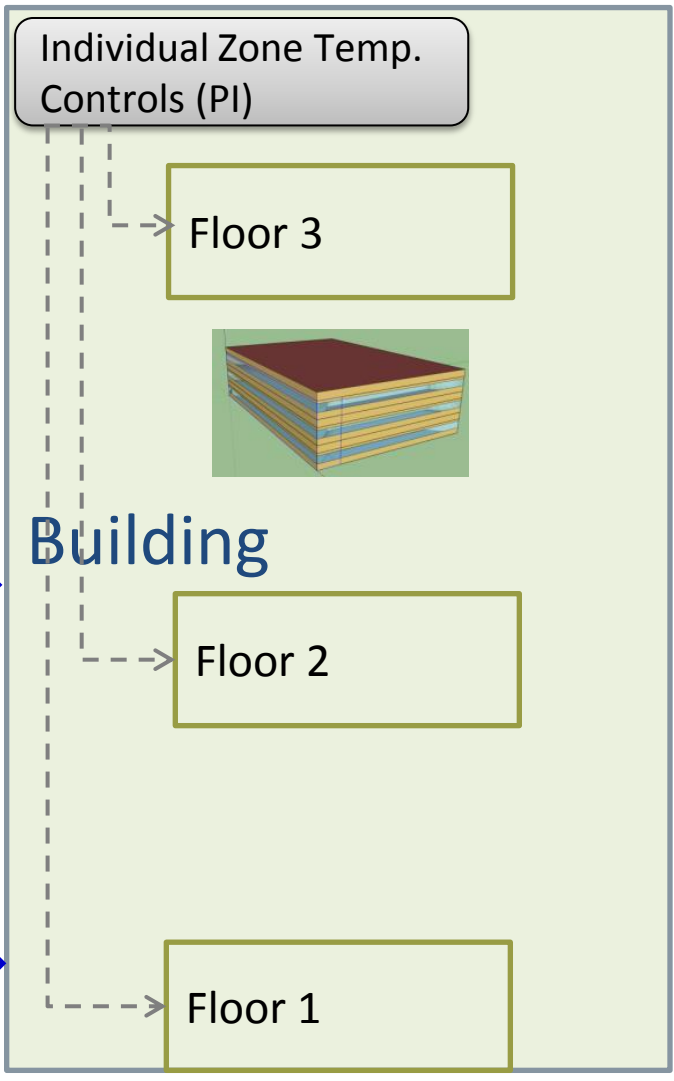
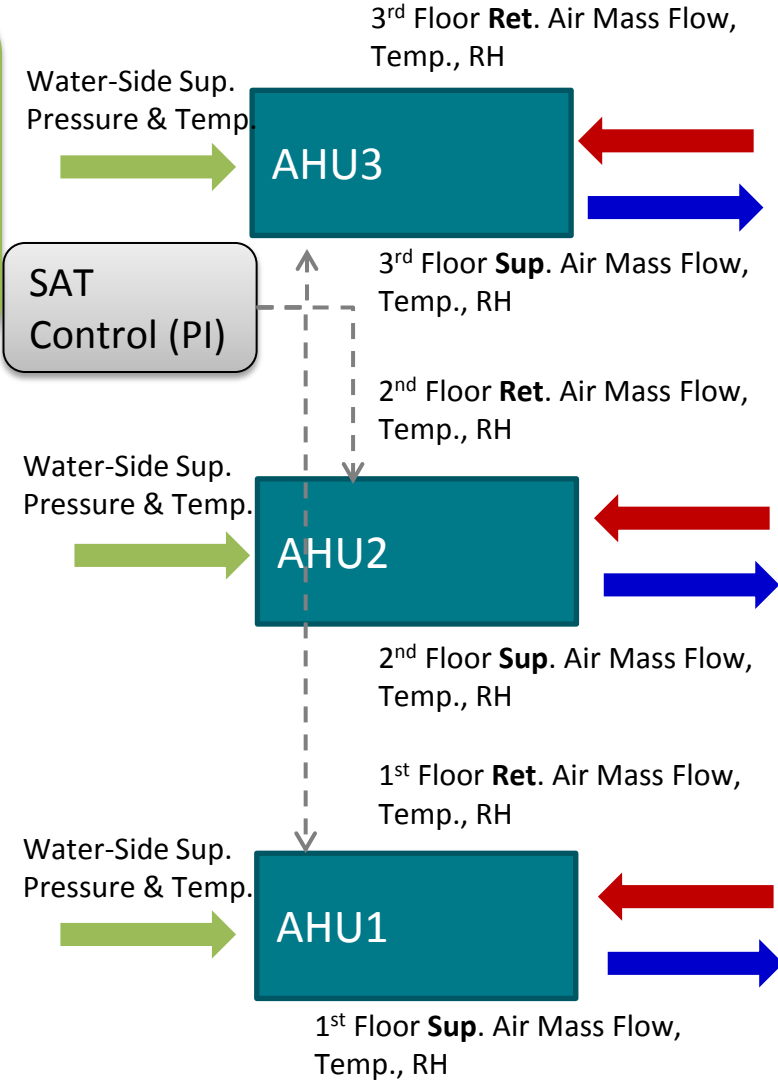
# INTEGRATED WHOLE-BUILDING HVAC MODEL

- Inputs**
- Weather & Schedules
- Key Outputs**
- Chiller Plant Eqp. Power, Flow, Temp.
  - AHU Fan Power & Valve Pos.
  - Zone Temp., RH.

Chilled-Water Ret. Pressure & Temp.


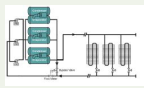

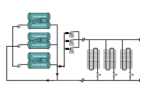

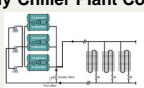

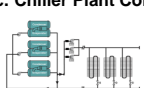


CHWST  
CWST  
DP Control (PI)



# SUMMARY OF CASE STUDIES

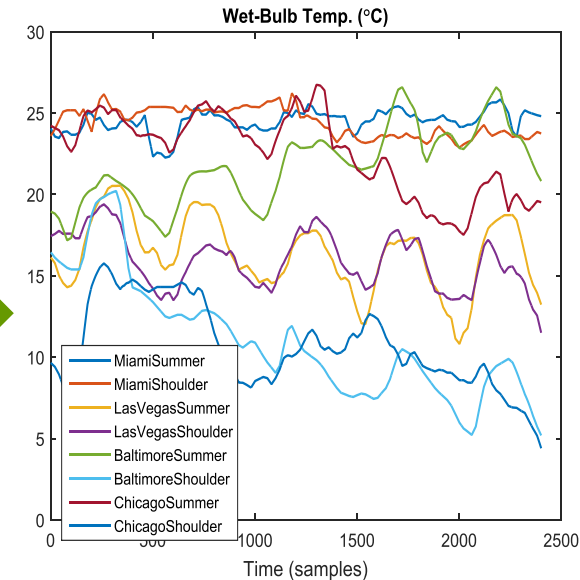
## 4 Case Configurations

Case Configurations	Definitions
Case Configuration 1 <b>1</b>	Medium Office + Primary-Only Chiller Plant Configuration  
Case Configuration 2 <b>2</b>	Medium Office + Primary-Sec. Chiller Plant Configuration  
Case Configuration 3 <b>3</b>	Large Hotel + Primary-Only Chiller Plant Configuration  
Case Configuration 4 <b>4</b>	Large Hotel + Primary-Sec. Chiller Plant Configuration  

## 8 Test Profiles (each case config.)

Test Cases	Test Case Scenarios
<b>Test 1</b>	Miami Summer
<b>Test 2</b>	Miami Shoulder
<b>Test 3</b>	Las Vegas Summer
<b>Test 4</b>	Las Vegas Shoulder
<b>Test 5</b>	Baltimore Summer
<b>Test 6</b>	Baltimore Shoulder
<b>Test 7</b>	Chicago Summer
<b>Test 8</b>	Chicago Shoulder

## Web-Bulb Temp.



## 4 Chiller Plant Control Algorithms

Control Algorithms	Descriptions
<b>1. Baseline Control</b>	<b>Constant</b> chilled-water supply temp. (CHWST) setpoint of 7°C. Load based chiller staging logic.
<b>2. OAT-Based Reset (ASHRAE 90.1)</b>	A <b>linear schedule</b> to reset CHWST setpoint based on outdoor air temperature (ASHRAE 90.1). Load based chiller staging logic.
<b>3. Heuristic-Based (Trim-Respond)</b>	Trim-Respond logic resets CHWST setpoint based on the demand measured by AHU's <b>chilled-water valve position</b> . One request is generated when one chilled-water valve position becomes greater than a prescribed threshold (e.g., 90%). Load based chiller staging logic.
<b>4. Low-Cost Optimal</b>	Maximize CHWST setpoint while performing real-time <b>load estimation</b> . Load based chiller staging logic.

# LOW-COST OPTIMAL CONTROL

$$Average = 100 \left( \sum_{test\ no.}^{1\ to\ 8} E_{total,base} - \sum_{test\ no.}^{1\ to\ 8} E_{total,optimal} \right) / \sum_{test\ no.}^{1\ to\ 8} E_{total,base}$$

## Average Energy Savings (%) from **Low-Cost Optimal Control**



# CONCLUSIONS

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*Better performing and more robust products*

## **☐ Physics based dynamic modeling and control enabling**

- Control architecture (actuation/sensing) trade-off analysis
- Algorithm analysis and design
- Installation/commissioning guidelines development
- Software robustness testing
- Equipment diagnostics development

## **☐ No turn-backs or surprises after the products are developed/deployed**